#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



### INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:

A61K 38/18, 31/70, 7/06

(11) International Publication Number:

**WO 96/06633** 

A3

(43) International Publication Date:

7 March 1996 (07.03.96)

(21) International Application Number:

PCT/US95/10971

(22) International Filing Date:

30 August 1995 (30.08.95)

(30) Priority Data:

08/298,941

31 August 1994 (31.08.94)

US

(60) Parent Application or Grant

(63) Related by Continuation US

08/298,941 (CIP)

Filed on

31 August 1994 (31.08.94)

(71) Applicant (for all designated States except US): TRUSTEES OF BOSTON UNIVERSITY [US/US]; 147 Bay State Road,

Boston, MA 02115 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): GILCHREST, Barbara, A. [US/US]; 67 Walnut Place, Brookline, MA 02146 (US). YAAR, Mina [IL/US]; 53 Lantern Lane, Sharon, MA 02067 (US). ELLER, Mark [US/US]; 49 Warren Avenue No. 4, Boston, MA 02116 (US).

(74) Agents: GRANAHAN, Patricia et al.; Hamilton, Brook, Smith & Reynolds, Two Militia Drive, Lexington, MA 02173 (US).

(81) Designated States: CA, JP, US, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).

**Published** 

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report: 2 May 1996 (02.05.96)

(54) Title: METHODS OF INDUCING HAIR GROWTH AND COLORATION

(57) Abstract

Methods to control, or manipulate, melanocyte and keratinocyte cell death are disclosed. In particular, a method of preventing epidermal melanocyte cell loss due to injury in a vertebrate is disclosed. Also disclosed is a method of inducing hair growth in a vertebrate, a method of inducing hair color in a vertebrate, a method of inducing skin color in a vertebrate, a method of treating baldness in an individual, and a method of treating alopecia areata in an individual.

### FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
ΑU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	ĬΕ	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgystan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic	SD	Sudan
CG	Congo		of Korea	SE	Sweden
СН	Switzerland	KR	Republic of Korea	SI	Slovenia
CI	Côte d'Ivoire	KZ	Kazakhstan	SK	Slovakia
CM	Cameroon	LI	Liechtenstein	SN	Senegal
CN	China	LK	Sri Lanka	TD	Chad
CS	Czechoslovakia	LU	Luxembourg	TG	Togo
CZ	Czech Republic	LV	Latvia	TJ	Tajikistan
DE	Germany	MC	Monaco	TT	Trinidad and Tobago
DK	Denmark	MD	Republic of Moldova	UA	Ukraine
ES	Spain	MG	Madagascar	US	United States of America
FI	Finland	ML	Mali	UZ	Uzbekistan
FR	France	MN	Mongolia	VN	Viet Nam
GA	Gabon				

### METHODS OF INDUCING HAIR GROWTH AND COLORATION

Normal hair follicles cycle between a growth stage

### Background of the Invention

(anagen), a degenerative stage (catagen), and a resting 5 stage (telogen). The scalp hairs have a relatively long life cycle: the anagen stage ranges from two to five years, the catagen stage ranges from a few days to a few weeks, and the telogen stage is approximately three months (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, pp. 290-291; 10 Sperling, L.C., J. Amer. Acad. Dermatology (v. 25, No. 1, Part 1), pp. 1-17 (1991)). Shorter hairs found elsewhere on the body have corresponding shorter anagen duration. The morphology of the hair and the hair follicle changes dramatically over the course of the life cycle of the hair. 15 During anagen, the hair follicle is highly active metabolically (Sperling, L.C., <u>J. Amer. Acad. Dermatology</u> (v. 25, No. 1, Part 1), p. 4 (1991)). The follicle comprises a follicular (dermal) papilla at the base of the follicle; epidermal matrix cells surrounding the follicular 20 papilla and forming the base of a hair shaft; and the hair shaft that extends upwards from the papilla through the hair canal (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993). 25 matrix cells are the actively growing portion of the hair (Sperling, L.C., J. Amer. Acad. Dermatology (v. 25, No. 1, Part 1), p.6 (1991)). At catagen, the matrix cells retract from the papilla, and other degenerative changes occur (Sperling, L.C., J. Amer. Acad. Dermatology (v. 25, No. 1, Part 1), pp. 13-14 (1991)). A column of epithelial cells 30 pushes the keratinized proximal shaft of the hair upwards (Sperling, L.C., <u>J. Amer. Acad. Dermatology</u> (v. 25, No. 1, Part 1), p. 3 (1991)), and cell death occurs within the

follicle (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, p. 291).

When the hair follicle reaches the telogen stage, the existing hair has a club-shaped proximal end, and a small bud (a remnant of the epithelial column that is found in catagen) at the base of the follicle (Sperling, L.C., J. Amer. Acad. Dermatology (v. 25, No. 1, Part 1), p. 3 (1991)). A telogen hair will not grow further

10 (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, p. 291).

The pigmentary system that colors hair involves melanocytes located in the matrix area of the follicle, above the follicular papilla (Fitzpatrick, T.B., et al.,

- eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, p. 292). Melanin pigments produced by the melanocytes flow along dendritic processes (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, p. 292). The
- dendritic processes are phagocytized by the differentiating matrix cells that become part of the hair shaft; degradation of the phagocytosed material results in release of melanin granules into the cytoplasm (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I),
- 25 McGraw-Hill, Inc., 1993, p. 671), thus pigmenting the hair.

Alterations in normal hair pigmentation or growth may be caused by age, physiologic disease conditions, or injury especially, for example, exposure to ultravioletirradiation. The "graying" of hair, both normal (ageassociated) or abnormal, is known as capities. Graying

- associated) or abnormal, is known as canities. Graying results from a progressive decrease in pigment present in the hair shaft, caused by loss of melanocytes (Fitzpatrick, T.B., et al., eds., DERMATOLOGY IN GENERAL MEDICINE (Vol. I), McGraw-Hill, Inc., 1993, p. 671; Gilchrest, B.A., SKIN
- 35 AND AGING PROCESSES, CRC Press, 1984, p. 19). A decrease

-3-

in the density of hair follicles is also associated with advancing age (Gilchrest, B.A., SKIN AND AGING PROCESSES, CRC Press, 1984, p. 20).

To date, the mechanism of melanocyte and keratinocyte injury, for example, from ultraviolet exposure or the aging process, has not been determined. Thus, little is known or available regarding a mechanism to manipulate the injury process to prevent cell death and thus prevent premature baldness or graying of hair or, conversely, to promote cell death and thus, unwanted hair growth.

### Summary of the Invention

10

30

The present invention is based on Applicants' discovery that basal layer epidermal melanocytes and keratinocytes undergo characteristic programmed cell death in response to injury. In particular, Applicants have 15 shown that epidermal melanocytes and keratinocytes undergo programmed cell death, or apoptosis, and that apoptosis in these cells is mediated by the p75 nerve growth factor receptor/nerve growth factor pathway (p75 NGF-R/NGF), resulting in upregulation of Bcl-2 protein. As a result of 20 Applicants' discovery, methods are herein provided to control, or manipulate, melanocyte and keratinocyte cell death by altering the effects of apoptosis. For example, apoptosis can be inhibited using methods described herein, resulting in hair growth and coloration. Conversely, 25 apoptosis can be promoted by methods described herein, resulting in hair loss or depigmentation.

Keratinocytes and melanocytes of the basal layer of the epidermis express the high affinity (trk E and trk) and the low affinity (p75) NGF receptors (NGF-R). NGF, known to be produced by keratinocytes, protects cells from death when it binds to NGF receptors. In cells, this NGF effect is mediated in part by induction of the protective protein Bcl-2. Interestingly, basal epidermal keratinocytes and

melanocytes express Bcl-2 protein. Specifically, as described herein, it has now been demonstrated that melanocytes expressing the p75 NGF-R can be rescued from apoptotic cell death by the occupation of the p75 NGF-R with NGF or a substance capable of binding to the p75 NGF-R, which initiates the expression of the Bcl-2 protein.

Also as described herein, Applicants have now demonstrated that normal anagen hair follicles strongly express the p75 NGF-R and that p75 NGF-R expression is significantly reduced and limited to a few basal keratinocytes in telogen hair follicles.

10

15

20

As a result of these discoveries, methods are now available for inhibiting the process of apoptosis, or programmed cell death, in basal layer epidermal and follicular keratinocytes and melanocytes in vertebrates, specifically in humans. Thus, as a result of inhibition of apoptosis, the present invention relates to methods of inducing hair growth and coloration, and delaying hair loss and graying, as well as methods of inducing skin coloration in vertebrates. In addition, the present invention relates to methods of treating alopecia areata and baldness, as well as methods of preventing unwanted hair growth.

In one embodiment of the present invention, the invention relates to a method of preventing melanocyte loss after injury by inhibiting apoptosis in epidermal melanocytes. As described herein, Applicants have now demonstrated that p75 NGF-R mediated apoptosis is responsible for melanocyte loss after injury, for example, due to ultraviolet irradiation or aging. Specifically,

30 Applicants have shown that an unoccupied p75 NGF-R (i.e., a p75 NGF-R which is not bound to ligand such as NGF) induces apoptotic cell death in melanocytes. Thus, ensuring that the p75 NGF-R is occupied by ligand inhibits the p75 NGF-R induced apoptotic pathway of cell death, resulting in the continued growth/proliferation, pigment production and

PCT/US95/10971

10

15

20

25

30

pigment transfer to keratinocyte by epidermal melanocytes. Alternatively, epidermal melanocyte cell loss can be prevented by upregulating the expression of Bcl-2 protein in epidermal melanocytes, or by downregulating the expression of the p75 NGF-R in the melanocytes.

In another embodiment of the invention, the invention relates to a method of inducing hair growth in a vertebrate by upregulation of the expression of the p75 NGF-R on keratinocytes in a vertebrate, such as humans, by introducing into epidermal keratinocytes a nucleotide sequence encoding the p75 NGF-R. The p75 NGF-R gene product is expressed on the surface of the keratinocytes, and becomes available to bind to its naturally occurring ligand, NGF, or to another substance that mimics the binding activity of NGF (i.e., a pseudo-ligand). The p75 NGF-R binds its ligand, or pseudo-ligand, resulting in the expression of the protein, Bcl-2, which protects the keratinocyte from apoptosis.

Alternatively, the upregulation of the expression of the p75 NGF-R can be accomplished by introducing into the keratinocyte a substance, such as a transcription activator protein, which initiates the transcription of the p75 NGF-R gene.

Hair growth can also be induced or prolonged by the upregulation of the expression of the Bcl-2 protein in the keratinocytes, either by the introduction of a nucleotide sequence encoding the Bcl-2 protein or by the introduction of a substance that initiates transcription of the gene encoding the Bcl-2 protein.

In another embodiment of the present invention, the invention relates to a method of inducing hair color in a vertebrate, such as a human, by inhibiting p75 NGF-R mediated apoptosis of epidermal melanocytes. As described above, an unoccupied p75 NGF-R induces apoptosis in epidermal melanocytes. Ensuring that the p75 NGF-R is

occupied by ligand, upregulating the expression of Bcl-2 protein, or downregulating the expression of p75 NGF-R inhibits apoptosis in epidermal melanocytes.

In another embodiment of the present invention, the invention relates to a method of inducing skin color in a vertebrate, particularly a human, by inhibiting p75 NGF-R mediated apoptosis of epidermal melanocytes. As described above, an unoccupied p75 NGF-R induces apoptosis in epidermal melanocytes. Ensuring that the p75 NGF-R is occupied by ligand, upregulating the expression of Bcl-2 protein, or downregulating the expression of p75 NGF-R inhibits apoptosis in epidermal melanocytes.

10

15

20

25

Conversely, apoptosis can be promoted in melanocytes and keratinocytes in humans, resulting in cell death. For example, cell death may be desirable to prevent unwanted hair growth (e.g., on women's faces or forearms). This can be accomplished, for example, by blocking nerve growth factor from binding to p75 NGF-R, thereby deceasing, or completely inhibiting production of Bcl-2 protein. Thus, apoptotic cell death would be promoted.

Another embodiment of the present invention relates to a method of identifying a substance capable of inhibiting apoptosis in melanocytes or keratinocytes by determining the effect the substance has on p75 nerve growth factor. Alternatively, the method of identifying a substance capable of inhibiting apoptosis in melanocytes or keratinocytes can be accomplished by determining the effect the substance has on Bcl-2 protein expression.

Thus, as a result of Applicants' discovery of the role
of p75 NGF-R induced apoptosis in epidermal melanocytes,
methods are now available to inhibit apoptotic cell death
in epidermal and follicular melanocytes, as well as
epidermal and follicular keratinocytes, including methods
of inducing or prolonging hair growth, hair coloration and
skin coloration.

15

25

### Brief Description of the Figures

Figure 1A is a photomicrograph depicting the effect of UV irradiation with 10  $mJ/cm^2$  on melanocytes.

Figure 1B is a photomicrograph depicting the effect of sham irradiation on melanocytes.

Figure 1C is a photomicrograph depicting the effect of UV irradiation with 10  $\rm mJ/cm^2$  on MM4 cells.

Figure 1D is a photomicrograph depicting the effect of sham irradiation on MM4 cells.

Figure 1E is a photograph of an agarose gel stained with ethidium bromide depicting the effect of UV irradiation, of MM4 cells on DNA fragmentation.

Figure 1F is a photomicrograph depicting the effect of UV irradiation of melanocytes on fragmentation of nuclear chromatin.

Figure 1G is a photomicrograph depicting the effect of UV irradiation of melanocytes on homogenization of nuclear chromatin.

Figure 1H is a bar graph depicting the percentage of propidium iodide-positive melanocytes after sham irradiation, UV irradiation with 10 mJ/cm<sup>2</sup> or UV irradiation with 25 mJ/cm<sup>2</sup>.

Figure 2A is bar graph depicting cell yields of melanocytes after 3 daily UV irradiations of 0, 5, 10 and  $25~\text{mJ/cm}^2$ .

Figure 2B is a bar graph depicting cell yields of MM4 after a single UV irradiation of 10  $\rm mJ/cm^2$  and supplementation with 50  $\rm ng/ml$  NGF or diluent alone.

Figure 2C is a bar graph depicting cell yields of MM4 after sham irradiation and supplementation with 50 ng/ml NGF or diluent alone.

Figure 2D is a bar graph depicting cell yields of MM4 after a single UV irradiation of 10  $\rm mJ/cm^2$  and supplementation with 50  $\rm ng/ml$  bFGF or diluent alone.

15

Figure 2E is a bar graph depicting cell yields of MM4 after sham irradiation and supplementation with 50 ng/ml bFGF or diluent alone.

Figure 2F is a photomicrograph depicting melanocyte cell morphology after UV irradiation daily for three days with 10 mJ/cm<sup>2</sup> and supplemented with diluent alone.

Figure 2G is a photomicrograph depicting MM4 cell morphology after UV irradiation once with 10  $\,\mathrm{mJ/cm^2}$  and supplemented with diluent alone.

Figure 2H is a photomicrograph depicting melanocyte cell morphology after UV irradiation daily for three days with 10 mJ/cm<sup>2</sup> and supplemented with 50 ng/ml NGF.

Figure 2I is a photomicrograph depicting MM4 cell morphology after UV irradiation once with 10  $\rm mJ/cm^2$  and supplemented with 50  $\rm ng/ml$  NGF.

Figure 3A is a photograph of an agarose gel stained with ethidium bromide depicting the effect of UV irradiation of MM4 cells supplemented with NGF on DNA fragmentation.

Figure 3B is a bar graph depicting the percentage of propidium iodide-positive melanocytes after sham irradiation or UV irradiation with 10 mJ/cm and then treatment with 50 ng/ml NGF or diluent alone.

Figure 4A is a graphic representation depicting the 25 effect of NGF on Bcl-2 expression in MM4 cells UV-irradiated with 10 mJ/cm<sup>2</sup>.

Figure 4B is a graphic representation depicting the effect of NGF on Bcl-2 expression in MM4 cells sham irradiated.

Figure 4C is a photograph of a Western blot depicting the effect of NGF on Bcl-2 expression in MM4 cells UV-irradiated or sham irradiated.

Figures 5A and 5B are photomicrographs showing the high levels of p75 NGF-R expression in melanocytes and

process of self-destruction that occurs in vertebrate cells. Apoptosis follows a distinct pattern of events characterized by plasma membrane blebbing, cell volume contraction, nuclear pyknosis and inter nucleosomal DNA degradation following the activation of Ca+/Mg2+ dependent endonucleases. (Hockenberry, D. M., et al., Cell 75:241-251 (1993); Garcia, I., et al., Science 258:302-304 (1992)). Apoptosis is a highly conserved mechanism among species. Cells carry in their nuclei a genetic program for apoptosis, that can be activated upon the proper triggering, such as in response to changes in levels of hormones or growth factors in the cellular environment. (Allsopp, T. E., et al., Cell 295-307 (1993); Barinaga, M. et al., Science 259:762-763 (1992); Barinaga, M., et al., Science 263:754-755 (1994)). The "apoptotic" genes encode 15 proteins which will induce apoptosis. However, recent evidence suggests that cells that do not undergo apoptosis express protective proteins, one of which is Bcl-2, which interact with the apoptotic proteins, sequester them and prevent their activity (Allsopp, T. E., et al., Cell 295-307 (1993)). It thus appears that a mechanism exists to protect cells from apoptosis.

To examine if UV-induced melanocyte death is apoptotic, cultures of pure human epidermal melanocytes or the human melanoma cell line MM4 (provided by Dr. U. Stierner, Goteborg, Sweden) were exposed to 5, 10 or 25 mJ/cm² UV irradiation, doses well within the physiologic UV range that reaches the basal layer of the epidermis during casual sun exposure. (See Example 1). Sham irradiated control cultures were handled identically but placed under a dark cloth adjacent to the UV beam. After 1-3 daily irradiations, many cells were detaching from the dish surface (See Figures 1A and 1C), while the majority of the cells in sham irradiated control cultures appeared healthy (See Figures 1B and 1D).

35

bulbar keratinocytes of the outer root sheath in the lower portion of anagen hairs.

Figure 5C is a photomicrograph showing p75 NGF-R levels in melanocytes and keratinocytes of telogen hairs.

Figures 5D and 5E are photomicrographs showing p75 NGF-R levels in melanocytes and keratinocytes in anagen hairs of patients with alopecia areata.

5

10

15

Figure 6 is a photomicrograph showing the effect of nerve growth factor on BCL-2 induction in melanocytic cells transfected with p75 NGF-R.

Figure 7 is a bar graph depicting the downregulation of p75 NGF-R abrogates NGF effect on melaocyte survival. Cells were transfected with p75 NGF-R oliognucleotides and NGF-R expression was documented by indirect immunofluorescence.

Figure 8 is a bar graph depicting that BCL-2 downregulation abrogates NGF protective effect on UV irradiated melanocytic cells.

Figure 9A is a photomicrograph showing the effect of 20 UV irradiation and nerve growth factor supplementation on keratinocyte apoptosis.

Figure 9B is a bar graph depicting the effect of UV irradiation and nerve growth factor supplementation on keatinocyte survival.

Figure 10 is a photomicrograph showing the effect of nerve growth factor depletion o BCL-2 level in keratinocytes.

### Detailed Description of the Invention

The present invention is based on Applicants' finding
that basal layer melanocytes and keratinocytes undergo
programmed cell death, or apoptosis. Specifically,
Applicants have demonstrated that melanocytes and
keratinocytes of the basal layer of the epidermis and the
hair follicle undergo apoptosis. Apoptosis is an active

15

20

Total cellular DNA isolated from paired UV-irradiated cultures displayed the characteristic endonuclease-induced DNA fragmentation into multimers, the so-called DNA ladder, while DNA of sham irradiated controls was not fragmented 5 (Figure 1E). Duplicate UV-irradiated cultures stained with propidium iodide displayed the characteristic compaction. margination and fragmentation of nuclear chromatin, as well as homogenous nuclear staining (Figures 1F and 1G). sham irradiated cultures, fewer than 6% of the cells 10 stained positively with propidium iodide. In contrast, approximately 30% and 60% of cells irradiated with 10 and 25 mJ/cm<sup>2</sup> respectively were propidium iodide positive (Figure 1H). These data strongly suggest that UV irradiation induces apoptotic death in cells of melanocytic origin.

However, melanocytes in vivo are not known to undergo apoptosis after UV-irradiation. As described herein, Applicants have demonstrated that these cells have a mechanism necessary to protect them from apoptotic cell death.

It had previously been shown that both the high affinity and low affinity nerve growth factor receptors, trk and p75 NGF-R, were expressed in vitro on the surface of appropriately stimulated human melanocytes. (Peacocke, M., et al., Proc. Natl. Acad. Sci. U.S.A. 85:5282-5286 25 (1988); Yaar, M., et al., Clin. Res. 40:531A (1992)). had also been shown that keratinocytes express nerve growth factor. (Yaar, M., et al., J. Cell Biol. 115:821-828 (1991); DiMarco, E., et al., J. Biol. Chem. 266:21718-21722 (1991)). 30

Applicants now describe herein, that nerve growth factor enhances the survival of human melanocytes after injury, for example, due to ultraviolet light exposure or growth factor deprivation.

Cultured human melanocytes were exposed to a solar simulator (5, 10, 25 mJ/cm² UVB dose) or sham irradiated as described in Example 1 and then maintained in suboptimal serum-free medium, and continuously provided with either 50 ng/ml nerve growth factor or diluent alone. (See Example 2). After UV irradiation, the majority of melanocytes and MM4 cells not supplemented with NGF were detaching from the dish surface. (See Figures 2F and 2G). In contrast, cultures supplemented with NGF appeared healthy. (See Figures 2H and 2I).

10

15

20

2E).

Cell yields of melanocytes (Figure 2A) and MM4 cells (Figures 2B and 2C) irradiated with 10 mJ/cm² and supplemented with 50 ng/ml NGF were significantly higher than those of cells supplemented with diluent alone (melanocytes: 7 experiments p<0.0085; MM4 cells: 4 experiments p<0.0001, ANOVA). Furthermore, supplementation with basic fibroblast growth factor (bFGF), a major mitogen for cells of melanocytic origin (Halaban, R., et al., In Vitro Cell Devel. Biol. 23:47-52 (1987); Halaban, R., et al., J. Cell Biol. 107:1611-1619 (1988)), failed to improve MM4 cell survival after UV irradiation despite its mitogenic effect on sham irradiated cells (Figures 2D and

To explore the mechanism of the striking response of

25 UV irradiated cells to NGF, paired cultures were irradiated with UVB light (5, 10 or 25 mJ/cm² UVB dose), or sham irradiated, and then incubated with antibodies to the high affinity component of the NGF receptor, trk. Melanocytes in UV-treated cultures displayed more trk receptors than

30 sham irradiated controls. Northern blot analysis checking the mRNA levels of the p75 NGF-R showed several-fold higher transcript levels in NGF-supplemented melanocytes than in diluent controls.

To determine if melanocytes undergo p75 NGF-R mediated apoptotic cell death after UV irradiation, melanocytes were

exposed to UVB (10 or 25 mJ/cm²) or were sham irradiated, as described in Example 1, then maintained in suboptimal serum-free medium. Both UVB irradiation and suboptimal culture conditions, previously shown to induce p75 NGF-R expression on melanocytes, induced the DNA fragmentation patterns classic for apoptosis.

To determine whether NGF can rescue injured melanocytes from apoptosis, duplicate cultures were irradiated as described above, and maintained in medium containing 50 ng/ml NGF or diluent alone. Irradiated 10 cultures not supplemented with NGF showed the characteristic DNA fragmentation, while cultures supplemented with NGF showed far less fragmentation (Figure 3A). As described in Example 3, within twenty-four hours, in NGF-treated versus control melanocytes, 12 % versus 30 % of nuclei showed fragmentation (p less than 0.05, paired test). (Figure 3B). Cell yields and thymidine labeling index determined daily for 19 days were higher in NGFtreated cultures (p less than 0.001), up to 6.5-fold and 10-fold, respectively. 20

To determine if melanocyte apoptosis is mediated by p75 NGF-R, cultures were treated as above, and then incubated in the presence of a blocking anti-human p75 NGF-R monoclonal antibody believed to act as a pseudo-ligand for the p75 NGF-R. (Anti-human p75 NGF-R monoclonal antibody courtesy of Moses V. Chao, Cornell University Medical Center, New York, NY; Ross, et al., Proc. Natl. Acad. Sci. 81:6681 (1984)). Like NGF, the antibody suppressed melanocyte apoptosis in UV-irradiated cultures, while anti-rat p75 NGF-R antibody that did not bind the human p75 NGF-R had no effect.

Northern blot analysis of melanocyte RNA from donors of different ages showed that p75 NGF-R was higher in older donors, while in contrast the level for other growth factor receptors was unchanged or decreased with age, suggesting a

greater vulnerability to apoptosis with aging, consistent with the clinical tendency for older persons to experience progressive hair loss.

Thus, one embodiment of the present invention relates
to a method of preventing or inhibiting melanocyte cell
loss after injury. The melanocytes are located in the
basal epidermal layer and include melanocytes located in
the skin and in hair follicles. The type of injury
includes injury due to exposure to ultraviolet light,
especially UVB, for example, in habitually sun-exposed
skin, and injury due to the normal aging process. Injuries
can also include disease conditions such as alopecia
areata.

More specifically, the invention relates to methods of preventing, or inhibiting, apoptosis in melanocytes and keratinocytes. As described above, Applicants have shown that apoptosis in melanocytes is mediated by the p75 NGF receptor. If the receptor is occupied, that is, if the receptor has bound a ligand, apoptosis is inhibited in the cell.

The naturally-occurring ligand for the p75 NGF-R is nerve growth factor (NGF). Mammalian NGF is a protein, consisting of three subunits,  $\alpha$ ,  $\beta$ , and  $\gamma$ , which interact to form an approximately 130 kD complex. (Ulrich, A., et al., Nature 303:821-825 (1983)). However, all known 25 effects of NGF are mediated by the 26 kD eta-subunit through its receptor. There are two types of NGF receptors, one of a low molecular weight of approximately 75 kD, and the other of a higher molecular weight of approximately 140 kD. Both are believed necessary for the high affinity binding 30 of NGF which is necessary for cellular response. higher molecular weight receptor was recently found to be the protooncogene, trk, which is a member of the tyrosine kinase family. (Yaar, M., et al., J. Cell Biol., 115:821-828 (1991); Chao, M., et al., Science 232:518-521 (1986); 35

35

Klein, R.S., et al., Cell 65:189-197 (1991)). NGF has been sequenced and cloned as described in Ulrich, A., et al., Nature, 303:821-825 (1983), the teachings of which are herein incorporated by reference. Thus, the entire NGF protein complex, one of its active subunits, such as the 26 kD subunit, or any biologically active fragment of NGF can be used to occupy the receptor. The biological activity of an NGF protein fragment can be determined by in vitro bioassay, for example, as described in DiMarco, E., et al., J. Biol. Chem., 266:21718-21722 (1991), the teachings of which are herein incorporated by reference.

Other substances that mimic NGF can act as a pseudoligand for the receptor. For example, the anti-human p75 NGF-R antibody described in Ross, et al., Proc. Natl. Acad. Sci. 81:6681 (1984) binds to p75 NGF-R and suppresses 15 apoptosis in melanocytes. These substances include other neurotrophic factors and neurotrophins, such as NT-3, -4, and -5, which are also capable of binding to the p75 NGF-R. (DiMarco, E., et al., J. Biol. Chem., 268:24290-24295 (1993); Yaar, M., et al., J. Invest. Derm., 100:554 20 (1993)). Additional substances, either protein or chemical in nature, can be produced and evaluated for their NGF-R binding ability. For example, a chemical substance can be produced that mimics the composition of NGF. substance can be evaluated as described above for NGF 25 activity.

Alternatively, a method of preventing epidermal melanocyte cell loss can encompass downregulating the expression of the p75 NGF-R on epidermal melanocytes. This would also result in fewer unoccupied receptor molecules and hence, suppress apoptosis and prevent melanocyte cell loss. Downregulation can be accomplished, for example, by introducing into the melanocyte a substance that inhibits or decreases the transcription of the gene encoding the p75 NGF-R. For example, an antisense oligonucleotide which is

complementary to the cellular mRNA encoding the p75 NGF-R can be introduced into the melanocyte in such a manner that the antisense oligonucleotide hybridizes with the mRNA, thereby preventing translation of the mRNA into p75 NGF-R protein.

Alternatively, epidermal melanocytes can be contacted with a substance which binds to p75 nerve growth factor receptor expressed on the surface of the melanocytes. The substance, for example, can be nerve growth factor in a pharmaceutically acceptable carrier or an antibody capable of binding to p75 nerve growth factor and acting as a psuedo-ligand. Pseudo-ligands include substances that mimic nerve growth factor, such as, e.g., peptides, organic molecules, antibodies and antibody fragments.

10

35

Psuedo-ligand antibodies which can be used in the present invention are capable of binding to p75 nerve growth factor receptor. The term antibody is intended to encompass both polyclonal and monoclonal antibodies. The preferred psuedo-ligand antibody is a monoclonal antibody reactive with a p75 nerve growth factor receptor. The term antibody is also intended to encompass mixtures of more than one antibody reactive with a p75 nerve growth factor receptor (e.g., a cocktail of different types of monoclonal antibodies reactive with a p75 nerve growth factor receptor). The term antibody is further intended to encompass whole antibodies, biologically functional fragments thereof, and chimeric antibodies comprising

portions from more than one species, bifunctional antibodies, etc. Biologically functional antibody

fragments which can be used are those fragments sufficient for binding of the antibody fragment to the p75 nerve growth factor receptor to occur.

The chimeric antibodies can comprise portions derived from two different species (e.g., human constant region and murine variable or binding region). The portions derived 10

15

20

25

30

35

from two different species can be joined together chemically by conventional techniques or can be prepared as single contiguous proteins using genetic engineering techniques. The portions derived from two different species can also be produced by recombinant means and then joined as described above. DNA encoding the proteins of both the light chain and heavy chain portions of the chimeric antibody can be expressed as contiguous proteins or can be produced by recombinant means and joined using techniques known to those of skill in the art.

The end result of p75 NGF-R binding to its ligand is the expression of the protective protein, Bcl-2. been shown to prevent some classes of cell death in lymphocytes and neurons. (Veis, D.J., et al., Cell 75:229-240 (1993)). As described in Example 4, Applicants have now shown the expression of Bcl-2 by injured melanocytes after treatment with NGF. Apoptosis can be inhibited by the expression of the protective protein, Bcl-2. another method of preventing melanocyte cell loss comprises a method of upregulating expression of the Bcl-2 protein in melanocytes. This can be accomplished, for example, by inserting a nucleotide sequence encoding Bcl-2 into an expression vector capable of expressing the encoded Bcl-2 in vertebrate cells. Such an expression vector can be constructed, for example, as described in Allsopp, T.E., et al., Cell 73:295-307 (1993), the teachings of which are herein incorporated by reference. This Bcl-2 expression vector can then be introduced into melanocytes using standard laboratory techniques, such as, for example, microinjection, calcium-phosphate precipitation, or microprojectible bombardment.

Alopecia areata (AA) is a common disease of the hair follicle, affecting about 2% of new patients attending dermatology clinics in the United States and in Britain (Price, V.H., <u>J. Invest. Dermatol.</u>, 96:685 (1991)). In

alopecia areata, the hair follicle, in response to some unknown signal or injury, is suddenly precipitated into premature telogen, and then cycles in a shortened aborted cycle in which it is repeatedly arrested part way through early anagen. The follicle may remain in this arrested state but is capable of resuming normal growth after months or years. The nature of the signal or injury and the anatomical target for this abnormality are unknown.

Histologically, AA is characterized by peribulbar lymphocytic infiltrate of predominantly T helper cells 10 (Lever, W.F. and Schaumburg-Lever, G., eds., HISTOPATHOLOGY OF THE SKIN, J.B. Lippincott Co., Philadelphia, PA, 1990, pp. 223-224), strongly suggesting the involvement of the cellular immune system perhaps through a loss of discrimination of self and non-self antigens (Goldsmith, L.A., <u>J. Invest. Dermatol.</u>, 96:985-1005 (1991)). Alternatively, an intrinsic abnormality in the follicular keratinocyte could be activated under the influence of internal or external triggers which eventually may lead to cellular degeneration and peribulbar inflammatory 20 infiltrate. However, to date no specific antigen has been identified to support the autoimmune theory and no specific intrinsic difference has been reported between normal bulbar and AA keratinocytes.

As described in Example 5, indirect immunofluorescent studies were performed on biopsy material obtained from normal subjects and alopecia areata patients in an effort to detect differences in the NGF signalling system during conditions characterized by keratinocyte and melanocyte 30 death. Results show high levels of p75 NGF-R in melanocytes and bulbar keratinocytes of the outer root sheath in the lower portion of anagen hairs (Figures 5A and 5B), suggesting a role for p75 NGF-R in hair growth. p75 NGF-R levels were significantly reduced or p75 NGF-R was absent melanocytes and keratinocytes of telogen hairs (Figure 5C).

Furthermore, in melanocytes and keratinocytes in anagen hairs of AA patients, significantly lower levels of p75 NGF-R was also detected (Figures 5D and 5E), indicating that decreased levels of this receptor may be involved in the pathogenesis of AA by precipitating anagen hairs into early telogen.

These findings strongly suggest that loss of the p75 NGF-R may lead to bulbar keratinocyte apoptosis and shift the hair follicle towards telogen. Decreased p75 NGF-R in anagen hair of AA patients may be the initial insult which triggers telogen effluvium in these patients.

10

Thus, in another embodiment of the present invention, the invention relates to a method of inducing hair growth in a vertebrate. This is especially useful to delay or prevent hair loss in humans, for example, in male pattern baldness. Hair growth is induced by maintaining hairs in the anagen phase, and preventing the initiation of the telogen phase. As described above, p75 NGF-R levels were significantly reduced, or absent in alopecia areata patients. Thus, it is reasonable to believe that if the 20 level of NGF-R expression on the surface of hair follicle keratinocytes is increased, the hairs are maintained in the anagen phase resulting in hair growth. Upregulating the expression of the p75 NGF-R can be accomplished by inserting a nucleotide sequence encoding the p75 NGF-R into 25 an expression vector capable of expressing the encoded receptor protein in a vertebrate cell and introducing the receptor vector into the keratinocyte, resulting in expression of the encoded receptor. p75 NGF-R expression vectors can be constructed as described in, e.g., 30 Rabizadeh, S., et al., Science 261:345-348 (1993); Morgenstern, J.P., et al., Nucleic Acids Res. 18:3587 This p75 NGF-R expression vector can be introduced into keratinocytes using standard laboratory techniques, such as, for example, microinjection, calcium-phosphate

precipitation, or microprojectile bombardment. The cDNA sequences for human, rat and chicken p75 NGF-R are known. (Johnson, D., et al., Cell 47:545-554 (1986); Radeke, M. et al., Nature 325:593-597 (1987) and Large, T.H., et al., Neuron 2:1123-1134 (1989); Huer, J.G., et al., Devl. Biol. 137:287-304 (1990), respectively, the teachings of which are incorporated by reference).

Alternatively, a substance can be introduced into epidermal keratinocytes that upregulates the expression of the p75 NGF-R, such as a transcription factor that promotes the transcription of the gene encoding the p75 NGF-R.

10

15

20

As discussed above, unoccupied p75 NGF-R results in apoptosis in melanocytes. Based on the data presented herein, Applicants reasonably expect that p75 NGF-R/NGF mediated apoptosis also occurs in epidermal keratinocytes. Thus, the binding of p75 NGF-R to ligand in epidermal keratinocytes results in the expression of the antiapoptotic protein, Bcl-2. Another method of inducing hair growth encompassed by the present invention relates to upregulating the expression of Bcl-2 in epidermal keratinocytes. Upregulation of Bcl-2 expression can be accomplished by expressing the encoded Bcl-2 protein in keratinocytes in a similar manner as the expression of Bcl-2 protein in melanocytes as discussed above.

As discussed above, in biopsies from patients with AA, p75 NGF-R expression in keratinocytes of anagen hairs is significantly reduced or totally absent. In AA, the p75 NGF-R can be bound in vivo by a pathogenic autoantibody that precludes further binding of commercial antibodies.

To pursue the possibility that reduced levels of p75 NGF-R in AA are the result of a bound autoantibody, direct immunofluorescent studies can be performed on cross section from AA patients to determine if human immunoglobulins are bound in areas known to express p75 NGF-R.

Another embodiment of the present invention relates to methods of inducing hair coloration in a vertebrate comprising inhibiting apoptosis in epidermal melanocytes. Epidermal melanocytes produce melanin pigment in organelles called melanosomes and transfer the pigment to surrounding keratinocytes via extensive dendrites. Melanin pigmentation is the principal determinant of hair and skin color. Inhibiting apoptosis in melanocytes results in persistently pigmented keratinocytes, or hair coloration, and thus, delays or prevents hair greying which is due to loss of hair bulb melanocytes.

Conversely, as a result of Applicants' discovery of the mechanism of apoptotic cell death in melanocytes and keratinocytes, methods are also provided that promote, apoptosis in these cells resulting in cell death. The promotion of cell death in keratinocytes may be desirable to decrease, or completely inhibit hair growth in specific areas on an individual. For example, the inhibition of facial hair growth, forearm hair growth or leg hair growth is often desirable.

15

20

25

Such inhibition of hair growth can be accomplished, for example, by the use of a blocking antibody that will block the binding of NGF to the p75 NGF-R expressed on keratinocytes. The blocking antibody (or an antibody fragment or peptide) will bind to the p75 NGF-R and thus prevent NGF from binding to the NGF-R. Thus, the NGF/p75 NGF-R mediated anti-apoptotic pathway is inhibited and cell death will be permitted, or enhanced after injury to the cells. For example, the specific area in which hair growth is to be inhibited can first be irradiated with UV light and then a composition comprising the blocking antibody can be applied (e.g., in a cream or ointment), resulting in apoptosis of injured keratinocytes and inhibition of hair growth.

In another embodiment of the present invention, the invention relates to <u>in vitro</u> methods of identifying novel substances, capable of inducing hair growth or hair coloration or inhibiting hair growth in an individual.

- These methods can be based on Applicants' discovery of the apoptotic mechanism of death in melanocytes and keratinocytes. An <u>in vitro</u> method of evaluating p75 NGF-R/NGF mediated apoptosis can use, for example, C57BL-6 mouse skin specimens with synchronized hair follicles
- either in telogen or anagen, as described in Paus, R., et al., Br. J. Dermatol. 122:777-784 (1990), the teachings of which are incorporated herein by reference. These skin specimens, being larger than biopsies obtained from people, and having follicles in defined portions of the growth
- 15 cycle are useful to investigate the relationship between NGF/NGF-R and growth state of the hair follicle. The necessary murine probes (cDNA and antibodies) are available. For example, anti-rat p75 NGF-R antibody is available from Accurate Chemical & Scientific Company (New
- York) and anti-mouse NGF antibody is available from Boehringer Mannheim Biochemicals (Indianapolis, IN). Rat NGF cDNA is described in Maisonpierre, P.C., et al., Science 247:1446-1451 (1990) and rat p75 NGF-R cDNA is described in Radeke, M.J. et al., Nature 325:593-597
- 25 (1987). A substance to be tested for anti-apoptotic activity in melanocytes can be evaluated in this, or another a melanocyte cell culture assay (e.g., as described in Example 1). Skin specimens or melanocytes can be maintained under conditions suitable for their
- proliferation and then exposed to UV irradiation. After irradiation, the substance to be tested for apoptotic activity can be added to the culture system. Subsequently, the cultured cells can be evaluated to determine whether cell death has been inhibited, or decreased.

10

15

20

25

30

Substances identified in this method are substances that specifically alter the apoptotic mechanism in melanocytes and kerantincytes. For example, substances that mimic nerve growth factor can be tested in an assay such as described above to evaluate their activity in inhibiting apoptosis. Additionally, substances identified and evaluated by this method can be peptides, organic molecules, small organic molecules, antibodies or antibody fragments.

Substances identified using methods described herein, found to bind p75 nerve growth factor receptor, or otherwise affect p75 nerve growth factor receptor, or found to initiate Bcl-2 expression, can be used in methods to induce hair growth, hair color or skin color. methods comprise contacting epidermal cells, including basal layer melanocytes or follicular keratinocytes, of a vertebrate with an effective amount of a substance capable of inducing hair growth, hair color or skin color by inhibiting apoptosis in melanocytes or keratinocytes. effective amount of such an identified substance is an amount effective to significantly decrease or completely inhibit apoptotic cell death in melanocytes and keratinocytes. The decrease of inhibition of apoptosis in melanocytes and keratinocytes can be evaluated using the methods described herein.

Various delivery systems are known and can be used to administer effective amounts of substances, such as naturally-occurring ligands or pseudo-ligands for p75 nerve growth factor receptor to inhibit apoptosis in melanocytes and keratinocytes. For example, encapsulation in liposomes, microparticles, microcapsules, expression by recombinant cells, receptor-mediated endocytosis, construction of a naturally-occurring or pseudo-ligand encoding nucleic acid as part of a retroviral or other vector can be used. In one embodiment, a liposome

preparation can be used. The liposome preparation can be comprised of any liposomes which penetrate the stratum corneum and fuse with the cell membrane, resulting in delivery of the contents of the liposome into the cell. For example, liposomes such as those described in U.S. Patent No. 5,077,211 of Yarosh, U.S. Patent No. 4,621,023 of Redziniak et al. can be used.

Administration of the substances can also be, for example, by topical application to the epidermis of a vertebrate, such as a human, in a quantity sufficient to 10 suppress apoptosis and prevent melanocyte or keratinocyte cell loss. The substance can be admixed in a pharmacological topical carrier such as a gel, an ointment, a lotion, a cream, or a shampoo and will include such carriers as water, glycerol, alcohol, propylene glycol, 15 fatty alcohols, triglycerides, fatty acid esters, or mineral oils. Other possible topical carriers include, e.g., liquid petrolatum, isopropyl palmitate, polyethylene glycol, ethanol (95%), polyoxyethylene monolauriate (5%) in water, sodium lauryl sulfate (5%) in water. 20 materials such as anti-oxidants, humectants, viscosity stabilizers, and similar agents may be added as necessary.

In addition, in certain instances, it is expected that the substances can be disposed within devices placed upon, in, or under the skin. Such devices include transdermal patches, implants, and injections which release the substance in such a manner as to contact the skin or hair follicle either by passive or active release mechanisms.

25

The delivery vehicle can also contain perfumes,

colorants, stabilizers, sunscreens, or other ingredients.

The substance can be applied, for example, topically to the epidermis at regular intervals, such as once or twice daily, in a suitable vehicle and at an effective concentration. Application can also be in a vehicle which specifically targets the appropriate cells (i.e., either

epidermal melanocytes or epidermal keratinocytes). For example, a membrane marker specific for melanocytes, such as melanocyte stimulating hormone (MSH), can be incorporated into a liposome containing a substance that inhibits or decreases the transcription of the gene encoding the p75 NGF-R.

An effective amount of a substance that inhibits, decreases, or promotes apoptosis can be administered to an individual using any of the above-described methods. The actual preferred amounts of a ligand to be administered will vary according to the specific ligand being utilized, the particular compositions formulated, the mode of application, and the particular situs and vertebrate being treated. The concentration of the ligand effective to suppress apoptosis and to prevent epidermal melanocyte cell loss or epidermal keratinocyte cell loss, or to promote apoptosis, in a vertebrate, such as a human, can be determined using known, conventional pharmacological protocols.

The following examples more specifically illustrate the invention and are not intended to be limiting in any way.

# Example 1: Effect of UV Irradiation on Melanocytic Cell Death

Melanocytes or MM4 cells were plated in 60 mm diameter tissue culture dishes. Melanocytes were maintained in Medium 199 supplemented with 7% fetal bovine serum (FBS), 10 ng/ml epidermal growth factor (Collaborative Research), 10 μg/ml insulin (Sigma), 10-9 M triiodothyronine (Collaborative Research), 10 μg/ml transferrin (Sigma), 1.4 x 10-6 M hydrocortisone (Calbiochem), 10-9 M cholera toxin (Calbiochem), and 10 ng/ml basic fibroblast growth factor (Collaborative Research) (basal melanocyte medium). MM4 cells were maintained in 55.3% DME, 27.6% L15, 15% FBS, 1%

nonessential amino acids (GIBCO BRL), 2mM glutamine and 10  $\mu$ g/ml insulin. After 24 hours, medium was replaced by phosphate buffered saline (PBS) and cells were irradiated using a 1 KW xenon arc solar simulator (XMN 1000-21,

- Optical Radiation Corp., Azuza, CA) with 5, 10, or 25 mJ/cm² UV through the petri dish plastic cover. Irradiance was adjusted to 4 x 10<sup>-5</sup> UV cm<sup>-2</sup> and metered with a research radiometer (model IL1700A, International Light, Newburyport, MA) fitted with a UVB probe at 285 ± 5 nm.
- After UV irradiation, cells were maintained in their respective media without FBS for 2 days (MM4 cells) or 3 days (melanocytes) and processed as indicated. Sham irradiated control cultures were handled identically but placed under a dark cloth adjacent to the UV beam.
- 15 Cells in 100 mm tissue culture dishes were washed with cold PBS and disrupted in lysis buffer pH8 (10 mM tris, 150 mM NaCl, 0.1 mM EDTA, 1% SDS, 200  $\mu$ g/ml proteinase K). After 15 hour incubation at 37°C, samples were extracted twice with phenol plus chloroform (1:1, V/V) and
- precipitated overnight with ethanol (2.5 x volume) and 3 M sodium acetate (1/10 x volume). The DNA was then digested with DNAse free ribonuclease (10  $\mu$ g/ml) for one hour at 37°C, separated on 1% agarose gel and stained with ethidium bromide. The size marker is 100 bp DNA ladder (STD)
- 25 (Gibco/BRL, Gaithersburg, MD). Figure 1E shows that DNA fragmentation occurs in UV-irradiated but not sham irradiated MM4 cells.

Melanocytes were cultured on 8 chamber tissue culture slides (Nunc Inc., Naperville, IL) and were UV irradiated 30 with 10 mJ/cm² as above. Four μM of propidium iodide (PI) was added to melanocyte cultures 24 hours after irradiation, for 5 minutes at 37°C. Cultures were washed with PBS and nuclei were analyzed using a Leitz confocal laser microscope (Leica, Deerfield, IL). Figure 1F shows 35 fragmentation of nuclear chromatin of UV-irradiated

PCT/US95/10971

10

15

20

25

30

melanocytes. Figure 1G shows homogenization of nuclear chromatin of UV-irradiated melanocytes.

Melanocytes were sham or UV irradiated with 10 mJ/cm² and 25 mJ/cm². Twenty-four hours after irradiation, 4  $\mu$ M of propidium iodide was added to cultures as above and cells were viewed with fluorescent phase contrast Nikon microscope. The number of fragmented or homogeneously stained nuclei versus nonstained nuclei was determined in several representative fields and expressed as a percent of total cells. A minimum of 130 cells were counted for each condition. Figure 1H shows the percent PI-positive cells in melanocyte culture.

## Example 2: Nerve Growth Factor Enhances Survival of Human Melanocytes After Injury

Melanocytes were UV-irradiated three times on three consecutive days with 0, 5, 10 or 25 mJ/cm² doses. After each UV exposure, cells were placed until the next irradiation in fresh melanocyte medium containing 50 ng/ml NGF or diluent alone. Figure 2A shows melanocyte yield after three daily UV irradiations of 0, 5, 10 and 25 mJ/cm².

Figures 2B and 2D show MM4 cell yields 24-72 hours after a single UV irradiation of 10 mJ/cm². Figures 2C and 2e show MM4 cell yields 24-72 hours after sham irradiation. Cells in Figures 2B and 2C were supplemented with 50 ng/ml NGF or diluent alone. Cells in Figures 2D and 2E were supplemented with 50 ng/ml bFGF or diluent alone.

Figure 2F shows the cell morphology of melanocytes after UV irradiation daily for three days with 10 mJ/cm² and supplemented with diluent alone. Figure 2G shows the cell morphology of MM4 cells after UV irradiation once with 10 mJ/cm² and supplemented with diluent alone. Figure 2H shows the cell morphology of melanocytes after UV irradiation daily for three days with 10 mJ/cm and

-28-

supplemented with 50 ng/ml NGF. Figure 2I shows the cell morphology of MM4 cells after UV irradiation once with 10 mJ/cm<sup>2</sup> and supplemented with 50 ng/ml NGF.

### Example 3: Nerve Growth Factor Rescues Injured Melanocytes Undergoing Apoptosis

Melanocytes or MM4 cells were plated as described in Example 1. After irradiation, melanocytes were maintained in basal melanocyte medium lacking FBS and hydrocortisone, with 50 ng/ml NGF or diluent alone (melanocyte medium).

MM4 cells were maintained in DME supplemented with 50 ng/ml - 10 NGF or diluent alone.

Twenty-four hours after UV irradiation, cells supplemented with diluent alone (-) showed fragmentation, while DNA of cells supplemented with NGF (+) was not fragmented. The standard (STD) is 100 bp DNA ladder (Gibco/BRL). (See Figure 3A).

15

25

30

Melanocytes were irradiated with 10 mJ/cm² or were sham irradiated as in Example 1 and then provided 50 ng/ml NGF or diluent alone. Twenty-four hours after irradiation, approximately 30% of diluent treated cells but only 12% of 20 NGF supplemented cultures show positive nuclei. That is, propidium iodide staining was positive in approximately 30% of nuclei in cultures not supplemented with NGF but in only 12% positive nuclei in NGFsupplemented cultures. (See Figure 3B).

### Example 4: Melanocyte Expression of Bcl-2 Protein After UV Injury

To determine if NGF induces Bcl-2 protein, MM4 cells were UV-irradiated with 10 mJ/cm² or sham irradiated and then supplemented with NGF or diluent alone as explained in Twenty-four hours after irradiation, cells were washed with PBS and then detached with 0.5 mM EDTA and washed again with PBS. 106 cells were incubated with 3.25

10

μg/ml mouse anti human Bcl-2 monoclonal antibody (DAKO Co., Carpinteria, CA) or with the same concentration of normal mouse IgG (Cappel, Organon Teknika Co., West Chester, PA, USA) in PBS with 0.3% Saponin (Sigma, St, Louis, MO) for 2 hours at 4°C. After three washes with PBS, cells were incubated with fluorescein-conjugated goat anti-mouse IgG (1 hour at 4°C) (Cappel), washed four times in PBS, fixed with 0.1% fresh formaldehyde, and washed three times in PBS. Fluorescence intensity was determined using FACScan flow cytometer (Becton-Dickinson, San Jose, CA).

The results show that UV-irradiated (Figure 4A) or sham irradiated (Figure 4B) cells, cells supplemented with diluent alone, or sham irradiated cells supplemented with NGF had only low levels of Bcl-2 protein FACScan analysis. However, the Bcl-2 level was substantially higher in cells subjected to UV irradiation followed by NGF supplementation (Figure 4A). (-) mouse IgG control; (...) diluent alone; (...) 50 ng/ml NGF.

Proteins from duplicate cultures analyzed by Western blotting confirmed Bcl-2 induction in UV irradiated NGF 20 supplemented melanocytic cells (Figure 4C). MM4 cells were extracted in RIPA buffer (50 mM Tris-HCl [pH 8.0], 0.15 M NaCl, 0.5% sodium deoxycholate, 1% Triton X-100) in the presence of one  $\mu$ g/ml aprotinin and 75  $\mu$ g/ml phenylmethylsulfonyl fluoride, sonicated for 1-3 seconds 25 and centrifuged. 45  $\mu g$  of protein per lane were separated on 12% SDS/PAGE and blotted onto nitrocellulose paper (overnight, 40V). Blots incubated with 3.25  $\mu g/ml$  antihuman Bcl-2 antibody (DAKO) reveal a band at the reported 25 kDa molecular weight: (+) 50 ng/ml NGF, (-) diluent 30 alone.

### Example 5: Immunofluorescent Studies

Punch biopsies (6 mm diameter) can be obtained from scalps of patients for example, with patchy AA, alopecia

totalis, alopecia universalis as well as uninvolved sites of AA patients and age matched controls and snap frozen for immunofluorescent studies. Immunostaining of fresh frozen tissues is compared with formaldehyde fixed tissues to determine if the antigen detection level is better in frozen sections. If the antibodies recognize formaldehyde fixed antigens with the same accuracy as non-denatured antigen, formaldehyde fixed tissues can be used for the immunofluorescent studies.

- Immunofluorescence was performed as described in Yaar, M., et al., Lab Invest. 58:157-162 (1988). Briefly, 4-μM thick vertical sections of biopsy samples were incubated with the first antibody overnight at 4°C. The second antibody applied was the appropriate fluorescein
- isothiocyanate conjugated antibody: either goat anti-rabbit or anti-mouse IgG (Cooper Biomedical). The second antibody was incubated for 30 minutes. Quantitation was performed by analysis of fluorescence intensity on the Leica Confocal microscope as described in Lu, K., et al., Proc. Natl.
- Acad. Sci. USA 89:3889-3893 (1992). Figures 5A and 5B show high levels of p75 NGF-R in melanocytes and bulbar keratinocytes of the outer root sheath in the lower portion of anagen hairs. Figure 5C shows that p75 NGF-R levels were significantly reduced or absent melanocytes and
- 25 keratinocytes of telogen hairs. Figures 5D and 5e show that p75 NGF-R levels were significantly lower or absent in melanocytes and keratinocytes in anagen hairs of AA patients.

# Example 6: Upregulation of p75 NGF-R in NGF Supplemented 30 Melanocytic Cells Upregulates BCL-2 Protein.

To determine the role of p75 NGF-R in mediating NGF survival effect in melanocytic cells, MM4 cells were transfected with 5 ug DNA of PCMV5A expression vector carrying the p75 NGF-R cDNA, as well as, with 1 ug plasmid

10

15

20

25

30

SV40 Neo carrying a neomycin resistant gene. Control cultures were transfected with 10 ug of SV40 Neo plasmid. Cultures were maintained in DME supplemented with 50-100 ng/ml G418 (geneticin), without serum, in the presence of 50 ng/ml NGF. Total cellular proteins were extracted in RIPA buffer (50 mM Tris-HCI, [pH 8.0], 0.15 M NaCI, 0.5% sodium deoxycholate, 1% Triton X-100) in the presence of 1 ug/ml aprotinin and 75 ug/ml phenylmethylsulfonyl fluoride, sonicated for 1-3 seconds and centrifuged. Blots were incubated with 3.25 ug/ml anti human BCL-2 antibody (DAKO).

The results shown in Figure 6 demonstrate that melanocytic cells constitutively express the two known forms of the BCL-2 protein, BCL-2 alpha and BCL-2 beta. However, the levels of BCL-2 alpha and BCL-2 beta are substantially higher in NGF supplemented cells transfected with p75 NGF-R as compared to NGF supplemented control cells, indicating that in the presence of NGF, higher levels of p75 NFG-R in cells contribute to their survival.

### Example 7: Downregulation of p75 NGF-R in NGF supplemented Melanocytic Cells Abrogates NGF Effect on Cells

To further determine the role of p75 NGF-R in mediating NGF survival effect in melanocytic cells, 19 mer antisense and nonsense (scrambled) p75 NGF-R oligonucleotides were synthesized and were sulfurized to the phosphorothicate form. The antisense sequence was directed against the 5' end of the human p75 NGF-R coding region (Johnson, D., et al., Cell, 47:545-554 (1986)). The following sequences were used: Antisense 5'→3' GGCACCTGCCCCCATCGCC (SEQ ID NO: 1); Nonsense 5'→3' CTCCCACTCGTCATTCGAC (SEQ ID NO: 2) (negative control).

Melanocytes were maintained in Medium 199 supplemented with 5% fetal bovine serum (FBS), 10 ng/ml epidermal growth factor (Collaborative Research), 10  $\mu$ g/ml transferrin (Sigma), 1.4 x 10<sup>-6</sup> M hydrocortisone (Calbiochem), 10<sup>-9</sup> M

cholera toxin (Calbiochem, 10 ng/ml basic fibroblast growth factor (Collaborative Research) (basal melanocyte medium).

Near confluent cells were irradiated using a 1 KW xenon arc solar simulator (XMN 1000-21, Optical Radiation Corp., Azuza, CA) with 20 mJ/cm² UV through the petri dish plastic cover. Irradiance was adjusted to 4 X 10<sup>-5</sup> UV cm<sup>-2</sup> and metered with a research 20 radiometer (model IL1700A, International Light, Newburyport, MA) fitted with a UVB probe at 285 ± 5 nm. After UV irradiation, cells were trypinized and incubated in suspension at 37°C for 30 minutes with 10 uM antisense or nonsense p75 NGF-R oligonucleotides.

10

After the initial 30 minute incubation cells were plated in 35 mm dishes in basal melanocyte medium without serum. Cells were supplemented with fresh oligonucleotides 15 every 12 hours for 48 hours. Cells were visualized by phase contrast microscopy and pictures of representative fields were obtained. Cell yields were determined by counting cells in several representative fields. results shown in Figure 7 demonstrate that in the absence 20 of p75 NGF-R (antisense NGF-R), NGF does not have an effect on melanocyte survival as compared to cells expressing p75 NGFR (sense NGF-R). This experiment confirms the role of p75 NGF-R in mediating the new growth factor effects in 25 melanocytic cells.

# Example 8: BCL-2 Downregulation Abrogates NGF Protective Effect on UV Irradiated Melanocytic Cells

Purified phosphorothioate oligonucleotides were purchased from Quality Controlled Biochemicals, Inc.

(Hopkinton, MA). 19 mer oligonucleotides were designed based on the published human BCL-2 sequence (Tsujimoto, Y. and Croce, C.M., Proc. Natl. Acad. Sci. USA, 83:5214-5218 (1986)). The sequence chosen was directed against the 5' end of the coding region starting 4 bases before the

methionine initiation site. Nonsense oligonucleotides were used as control. Sequences used (all written 5'→3'):
Antisense CCCAGCGTGCGCCATCCTT (SEQ ID NO: 3); Nonsense CTCCCACTCGTCATTCGAC (SEQ ID NO: 4).

MM4 cells were maintained in 60 mm diameter tissue 5 culture dishes in 55.3% DME, 27.6% L15, 15% FBS, 1% nonessential amino acids (GIBCO BRL), 2 mM glutamine and 10  $\mu$ g/ml insulin. Near confluent cells were UV irradiated with 10 mJ/cm<sup>2</sup>. Immediately after irradiation cells were incubated with 10 uM antisense or nonsense BCL-2 10 oligonucleotides in suspension at 37°C for 30 minutes. Then cells were plated in tissue culture dishes in the presence or absence of NGF (50 ng/ml). Cells were supplemented with fresh oligonucleotides every 12 hours. Cell yield and BCL-2 level were determined 48 hours after 15 irradiation. Cell yield was determined by counting cells in at least three representative field per each condition. Figure 8 shows the results of a Western blot demonstrating that in the presence antisense BCL-2 oligonucleotides BCL-2 levels are almost undetectable. Cell yields of UV 20 irradiated cultures supplemented with NGF and nonsense oligonucleotides (white bar) are significantly higher as compared to nonsense supplemented cultures provided with diluent alone (dotted bar) (p<0.007, ANOVA). Cell yields 25 of NGF supplemented cultures treated with BCL-2 antisense oligonucleotides (black bar) are significantly lower than NGF supplemented cultures provided with nonsense oligonucleotides (white bar) demonstrating complete abrogation of NGF effect on the cells (p<0.003, ANOVA). In diluent supplemented culture yields of nonsense treated 30 cells (dotted bar) were significantly higher than antisense treated cells (dashed bar) (p<0.004, ANOVA). Morphologic appearance of MM4 cells confirmed the numerical cell yield This experiment demonstrated that BCL-2 protein is required for melanocytic survival after UV irradiation and 35

-34-

that NGF affects melanocytic cell survival by upregulating their BCL-2 level.

### Example 9: Effect of UV Irradiation on Keratinocyte Cell Death

Keratinocytes were plated in 60 mm diameter tissue 5 culture dishes in MCDB153 supplemented with epidermal growth factor (0.1 ng/ml human recombinant), insulin (5  $\mu$ g/ml), hydrocortisone (0.5  $\mu$ g/ml, calcium (0.15 mM), bovine pituitary extract (BPE), (2 ml per 500 ml medium), gentamicin (50  $\mu$ g/ml), and amphotericin-B (50 ng/ml) 10 (karetinocyte basal medium). Preconfluent cells were irradiated in phosphate buffered saline (PBS) using a 1 KW xenon arc solar simulator (XMN 1000-21, Optical Radiation Corp., Azuza, CA) with 15 or 25 mJ/cm2 UV through the petri dish plastic cover as described in Example 7. After UV irradiation, cells were maintained in their basal medium 3 days and processed as indicated. Sham irradiated control cultures were handled identically but placed under a dark

Cells were washed with cold PBS and disrupted in lysis buffer pH8 (10 mM tris, 150 mM NaCI, 0.1 mM EDTA, 1% SDS, 200 μg/ml proteinase K). After 15 hour incubation at 37°C, samples were extracted twice with phenol plus chloroform (1:1, V/V) and precipitated overnight with ethanol (2.5 X

volume) and 3 M sodium acetate (1/10 x volume). The DNA was then digested with DNAse free ribonuclease (10  $\mu$ g/ml) for one hour at 37°C, separated on 1% agarose gel and stained with ethidium bromide. The data show that DNA fragmentation, characteristic of apoptotic cell death,

30 occurs in UV-irradiated but not sham irradiated keratinocytes.

cloth adjacent to the UV beam.

## Example 10: Nerve Growth Factor Enhances Survival of Human Keratinocytes After Injury

Keratinocytes were UV-irradiated as in Example 9. After irradiation cells were placed in fresh keratinocyte medium containing 50 ng/ml NGF or diluent alone. fragmentation was determined as in Example 9. Figure 9A shows that UV irradiated keratinocytes supplemented with diluent alone (-) display the characteristic DNA fragmentation, while DNA of UV irradiated cells 10 supplemented with NGF (+) is not fragmented. The standard (STD) is 100 bp DNA ladder (Gibco/BRL). Keratinocyte yield determined daily for 5 days as shown in Figure 9B demonstrates that within 24 hours there is a 50% decrease in cell yield in cultures provided with diluent alone but on 30% decreases in cultures provided with NGF. irradiated keratinocytes were growth arrested as expected. However, cell yields of keratinocytes maintained in NGF supplemented medium increased by 40% within the 5 days of the experiment, suggesting that NGF is a mitogen for keratinocytes as well as a survival factor. 20 experiment demonstrates that, similar to melanocytes, NGF is a survival factor for keratinocytes. Furthermore, the experiment suggests that NGF might be a mitogen for keratinocytes as well.

# 25 Example 11: Keratinocyte Expression in BCL-2 Protein After NGF Deprivation

To determine if NGF affects BCL-2 protein level in keratinocytes, cells were maintained in Keratinocyte basal medium until 60-80% confluent. Then cells were provided medium lacking BPE to eliminate exogenous NGF. Duplicate cultures were provided 50 ng/ml NGF or diluent alone.

Total keratinocyte proteins were extracted, and BCL-2 levels were determined by Western blot analysis as in Example 6. Figure 10 shows that within 24 hours of NGF

depletion decreased BCL-2 level was detected in diluent supplemented keratinocytes as compared to NGF supplemented cells. Similar findings were observed at 48 hours. This experiment demonstrates that NGF contributes, at least in part, to BCL-2 maintenance keratinocytes.

### **Equivalents**

Those skilled in the art will recognize, or be able to ascertain using no more than routine experimentation, many equivalents to the specific embodiments of the invention described herein. Such equivalents are intended to be encompassed by the following claims.

-37-

### CLAIMS

What is claimed is:

- A method of preventing epidermal melanocyte cell loss due to injury in a vertebrate comprising inhibiting apoptosis in epidermal melanocytes.
- 2. A method of preventing epidermal melanocyte cell loss due to injury in a vertebrate comprising downregulating the expression of p75 nerve growth factor receptor on epidermal melanocytes.
- 10 3. A method of preventing epidermal melanocyte cell loss due to injury in a vertebrate comprising introducing into epidermal melanocytes an antisense oligonucleotide which is complementary to cellular mRNA encoding the p75 nerve growth factor receptor in such a manner that the antisense oligonucleotide hybridizes with the cellular mRNA, thereby preventing translation of the mRNA into p75 nerve growth factor receptor protein.
- 4. A method of preventing epidermal melanocyte cell loss
  in a vertebrate comprising contacting epidermal
  melanocytes with a substance which binds to p75 nerve
  growth factor receptor expressed on the surface of the
  melanocytes.
- 5. The method of Claim 4 wherein the substance is nerve growth factor in a pharmaceutically acceptable carrier.
  - 6. The method of Claim 4 wherein the substance is an antibody capable binding to p75 nerve growth factor receptor.

- 7. A method of preventing epidermal melanocyte cell loss in a vertebrate comprising upregulating the expression of Bcl-2 protein in epidermal melanocytes.
- 8. A method of preventing melanocyte cell loss in a vertebrate comprising the steps of:

10

- (a) inserting a nucleotide sequence encoding the Bcl-2 protein into an expression vector capable of expressing the encoded Bcl-2 protein in a vertebrate cell, thereby producing a Bcl-2 protein vector construct; and
- (b) introducing the Bcl-2 protein vector construct into epidermal melanocytes of the vertebrate, whereby the Bcl-2 protein is expressed in the epidermal melanocytes, thereby resulting in hair color.
- 9. A method of inducing hair growth in a vertebrate comprising inhibiting apoptosis in epidermal keratinocytes.
- 10. A method of inducing hair growth in a vertebrate
  20 comprising upregulating the expression of the p75
  nerve growth factor receptor in hair follicular
  keratinocytes.
  - 11. A method of inducing hair growth in vertebrates comprising the steps of:
- 25 (a) inserting a nucleotide sequence encoding the p75 nerve growth factor receptor into an expression vector capable of expressing the encoded receptor protein in a vertebrate cell, thereby producing a p75 nerve growth factor receptor vector construct; and

PCT/US95/10971

- (b) introducing the p75 nerve growth factor receptor vector construct into epidermal keratinocytes of the vertebrate, whereby the p75 nerve growth factor receptor is expressed in epidermal keratinocytes, thereby resulting in hair growth.
- 12. A method of inducing hair growth in a vertebrate comprising introducing into epidermal keratinocytes a substance which upregulates the expression of p75 nerve growth factor receptor in the keratinocytes.
- 10 13. A method of inducing hair growth in a vertebrate comprising upregulating the expression of Bcl-2 protein in epidermal keratinocytes.
  - 14. A method of inducing hair growth in a vertebrate comprising the steps of:
- 15 (a) inserting a nucleotide sequence encoding the Bcl2 protein into an expression vector capable of
  expressing the encoded Bcl-2 protein a
  vertebrate cell, thereby protein a
  protein vector construct;
- 20 (b) introducing the Bcl-2 protes (c) into epidermal keratinocytes (c) whereby the Bcl-2 protein is experience in the epidermal keratinocytes, thereby the hair growth.
- 25 15. A method of inducing hair color in a vertebrate comprising inhibiting apoptosis in epidermal melanocytes.
- 16. A method of inducing hair color in a vertebrate comprising downregulating the expression of p75 nerve
   30 growth factor receptor on epidermal melanocytes.

17. A method of inducing hair color in a vertebrate comprising introducing into epidermal melanocytes an antisense oligonucleotide which is complementary to cellular mRNA encoding the p75 nerve growth factor receptor in such a manner that the antisense oligonucleotide hybridizes with the cellular mRNA, thereby preventing translation of the mRNA into p75 nerve growth factor receptor protein.

- 18. A method of inducing hair color in a vertebrate

  10 comprising contacting epidermal melanocytes with a

  substance which binds to p75 nerve growth factor

  receptor expressed on the surface of the melanocytes.
- 19. The method of Claim 18 wherein the substance is nerve growth factor in a pharmaceutically acceptablecarrier.
  - 20. The method of Claim 18 wherein the substance is an antibody capable of binding to p75 nerve growth factor receptor.
- 21. A method of inducing hair color in a vertebrate 20 comprising upregulating the expression of Bcl-2 protein in epidermal melanocytes.
  - 22. A method of inducing hair color in a vertebrate comprising the steps of:
- (a) inserting a nucleotide sequence encoding the Bcl-2
   2 protein into an expression vector capable of expressing the encoded Bcl-2 protein in a vertebrate cell, thereby producing a Bcl-2 protein vector construct; and
- (b) introducing the Bcl-2 protein vector constructinto epidermal melanocytes of the vertebrate,

whereby the Bcl-2 protein is expressed in the epidermal melanocytes, thereby resulting in hair color.

- 23. A method of inducing skin color in a vertebrate comprising inhibiting apoptosis in epidermal melanocytes.
  - 24. A method of inducing skin color in a vertebrate comprising downregulating the expression of p75 nerve growth factor receptor on epidermal melanocytes.
- 10 25. A method of inducing skin color in a vertebrate comprising introducing into epidermal melanocytes an antisense oligonucleotide which is complementary to cellular mRNA encoding the p75 nerve growth factor receptor in such a manner that the antisense oligonucleotide hybridizes with the cellular mRNA, thereby preventing translation of the mRNA into p75 nerve growth factor receptor protein.
- 26. A method of inducing skin color in a vertebrate comprising contacting epidermal melanocytes with a substance which binds to p75 nerve growth factor receptor expressed on the surface of the melanocytes.
  - 27. The method of Claim 26 wherein the substance is nerve growth factor in a pharmaceutically acceptable carrier.
- 25 28. The method of Claim 26 wherein the substance is an antibody capable binding of to p75 nerve growth factor receptor.

WO 96/06633

10

- 29. A method of inducing skin color in a vertebrate comprising upregulating the expression of Bcl-2 protein in epidermal melanocytes.
- 30. A method of inducing skin color in a vertebrate comprising the steps of:
  - (a) inserting a nucleotide sequence encoding the Bcl-2 protein into an expression vector capable of expressing the encoded Bcl-2 protein in a vertebrate cell, thereby producing a Bcl-2 protein vector construct; and
  - (b) introducing the Bcl-2 protein vector construct into epidermal melanocytes of the vertebrate, whereby the Bcl-2 protein is expressed in the epidermal melanocytes, thereby resulting in skin color.
  - 31. A method of identifying a substance capable of inducing hair growth in an individual comprising determining the effect the substance has on inhibiting apoptosis in melanocytes or keratinocytes.
- 20 32. A method of identifying a substance capable of inducing hair coloration comprising determining the effect the substance has on inhibiting apoptosis in melanocytes or keratinocytes.
- 33. A method of identifying a substance capable of inhibiting hair growth in an individual comprising determining the effect the substance has on apoptosis in melanocytes keratinocytes.

PCT/US95/10971

20

- 34. A method of identifying a substance capable of inducing hair growth in an individual comprising determining the effect the substance has upregulating Bcl-2 protein expression.
- 5 35. A method of inducing hair coloration in an individual comprising determining the effect the substance has on upregulating Bc1-2 protein expression.
- 36. A method of inhibiting hair growth in an individual comprising determining the effect the substance has on downregulating Bc1-2 protein expression.
  - 37. A method of treating alopecia areata in an individual comprising inhibiting apoptosis in epidermal keratinocytes.
- 38. A method of treating male pattern baldness in an individual comprising inhibiting apoptosis in epidermal keratinocytes.
  - 39. A method of promoting apoptosis in keratinocytes in a vertebrate comprising contacting keratinocytes with a substance which blocks the binding of nerve growth factor to p75 nerve growth factor receptor expressed on the surface of the keratinocytes.
    - 40. The method of Claim 39 wherein the substance is an antibody capable of blocking the binding of nerve growth factor to p75 nerve growth factor receptor expressed on the surface of the keratinocytes.

5

25

41. For use	in	therapy:
-------------	----	----------

- (a) an inhibitor of apoptosis in epidermal melanocytes or keratinocytes; or
- (b) a downregulator of p75 nerve growth factor receptor on epidermal melanocytes or keratinocytes; or
- (c) an antisense oligonucleotide complementary to cellular mRNA encoding p75 nerve growth factor receptor; or
- 10 (d) a substance which binds to that p75 nerve growth factor receptor which is expressed on the surface of melanocytes or keratinocytes, for example nerve growth factor (or analogue thereof) or a p75 receptor (monoclonal) antibody (e.g. in a pharmaceutical carrier or excipient); or
  - (e) an upregulator of Bcl-2 protein in epidermal melanocytes or keratinocytes; or
  - (f) Bcl-2 protein or a nucleotide sequence encoding the Bcl-2 protein; or
- 20 (g) p75 nerve growth factor receptor or a nucleotide sequence encoding the p75 nerve growth factor receptor; or
  - (h) an upregulator of p75 nerve growth factor receptor expression in keratinocytes or epidermal melanocytes; or
  - (i) an expression vector comprising a p75 nerve growth factor receptor gene or a Bcl-2 gene; or
- (j) an agent which blocks the binding of the nerve growth factor to p75 nerve growth factor receptor expressed on the surface of epidermal melanocytes or keratinocytes,

the therapy being for example the control (e.g. induction or elimination) of hair growth and/or pigmentation.

- The invention of Claim 41 wherein the therapy is: 42
  - the prevention of epidermal melanocyte cell loss (a) due to injury; or
  - the control (e.g. induction or elimination) of (b) skin pigmentation; or
  - (c) the treatment of alopecia areata or male pattern baldness; or
  - the promotion of apoptosis in keratinocytes. (d)

#### 43. Use of:

5

20

25

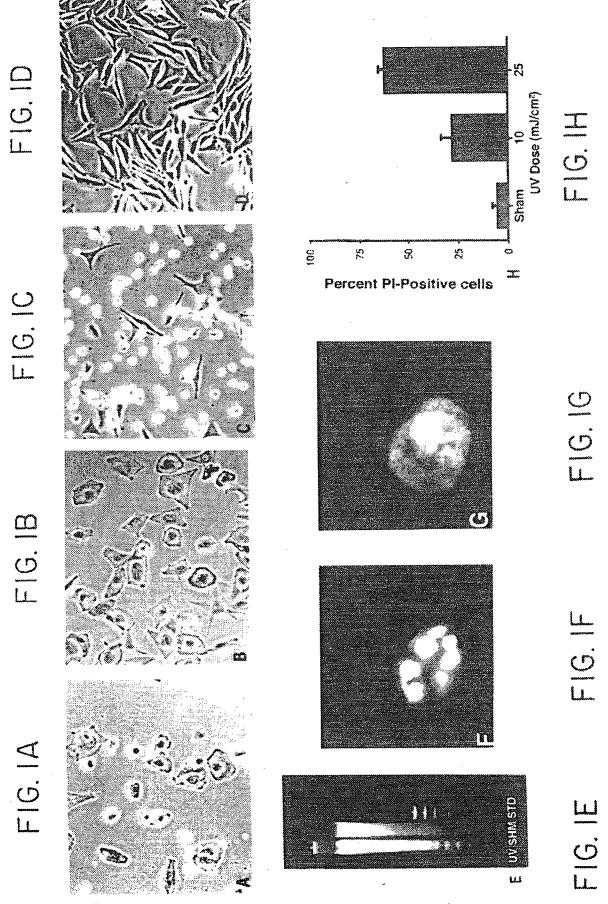
- an inhibitor of apoptosis in epidermal 10. (a) melanocytes or keratinocytes; or
  - a downregulator of p75 nerve growth factor (b) receptor on epidermal melanocytes or keratinocytes; or
- (c) an antisense oligonucleotide complementary to 15 cellular mRNA encoding p75 nerve growth factor receptor; or
  - (d) a substance which binds to that p75 nerve growth factor receptor which is expressed on the surface of melanocytes or keratinocytes, for example nerve growth factor (or analogue thereof) or a p75 receptor (monoclonal) antibody (e.g. in a pharmaceutical carrier or excipient); or
  - an upregulator of Bcl-2 protein in epidermal (e) melanocytes or keratinocytes; or
  - Bcl-2 protein or a nucleotide sequence encoding (f) the Bcl-2 protein; or
  - p75 nerve growth factor receptor or a nucleotide (g) sequence encoding the p75 nerve growth factor receptor; or
  - an upregulator of p75 nerve growth factor (h) receptor expression in keratinocytes or epidermal melanocytes; or

5

- (i) an expression vector comprising a p75 nerve growth factor receptor or a Bcl-2 gene; or
- (j) an agent which blocks the binding of nerve growth factor to p75 nerve growth factor receptor expressed on the surface of epidermal melanocytes or keratinocytes,

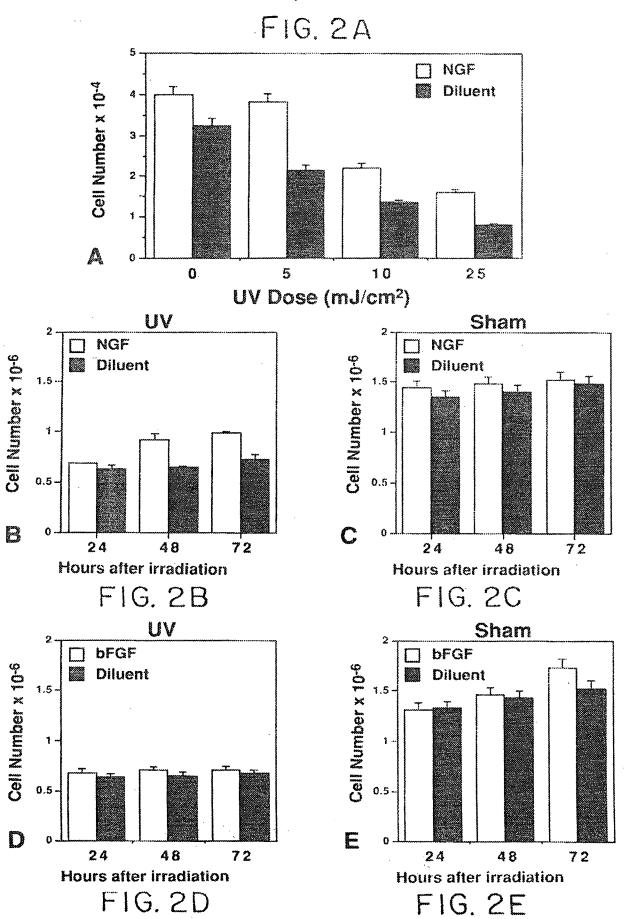
for the manufacture of a medicament for use in therapy, e.g. in the control (e.g. induction or elimination) of hair growth and/or pigmentation.

- 10 44. The use of Claim 43 wherein the therapy is:
  - (a) the prevention of epidermal melanocyte cell loss due to injury; or
  - (b) the control (e.g., induction or elimination) of skin pigmentation; or
- 15 (c) the treatment of alopecia areata or male pattern baldness; or
  - (d) the promotion of apoptosis in keratinocytes.



SUBSTITUTE SHEET (RULE 26)

·			
	*		
		·	



**SUBSTITUTE SHEET (RULE 26)** 

	, ,
	•
***	

FIG. 2F FIG. 2G

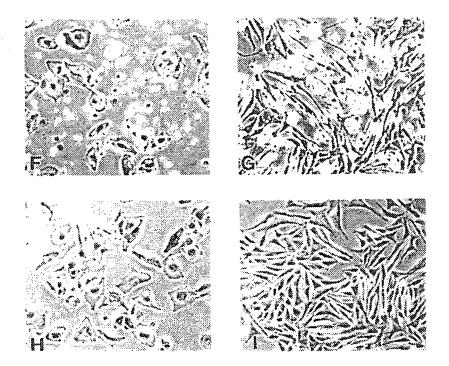


FIG. 2H FIG. 2I

				·
		•		
			•	

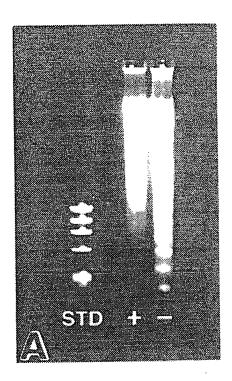


FIG. 3A

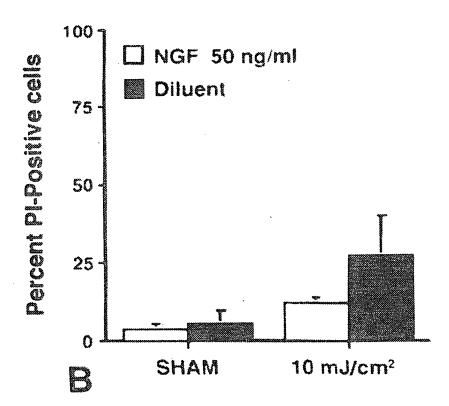


FIG. 3B SUBSTITUTE SHEET (RULE 26)

					-
			•		
	•				
•					
	k				
	•				

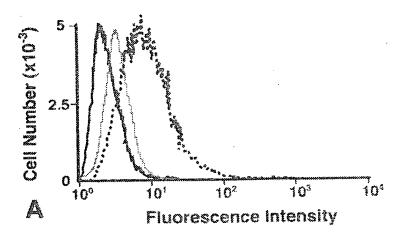


FIG. 4A

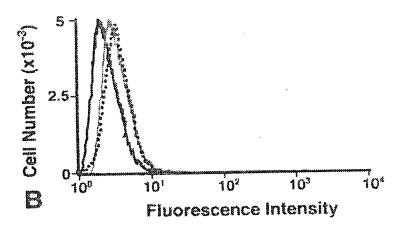
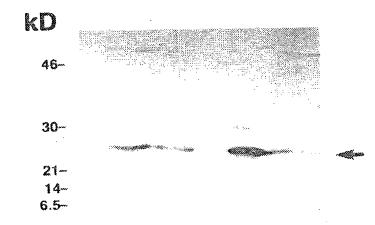
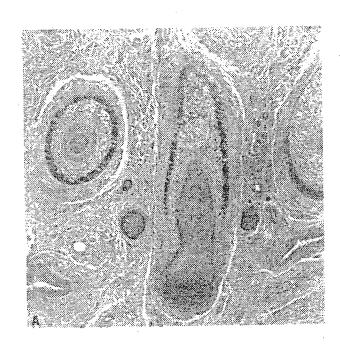


FIG. 4B



SHAM 10mJ/cm<sup>2</sup>
FIG. 4C
SUBSTITUTE SHEET (RULE 26)

					•
	•				
•					
			•		
				-	
•	•				
		•			



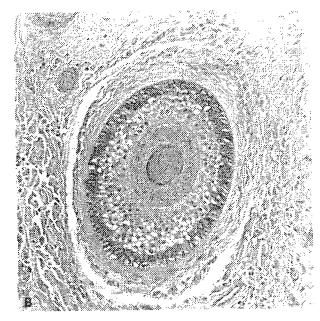


FIG. 5A

FIG. 5B

					•
		·			
-					
					4
			•		
				·	



FIG. 5C

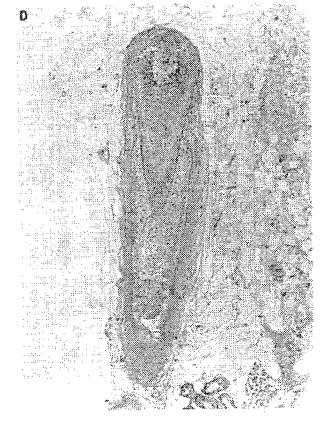


FIG. 5D

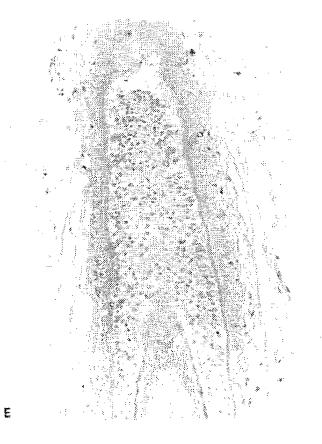


FIG. 5E

**SUBSTITUTE SHEET (RULE 26)** 

		·
	•	
·		
	·	

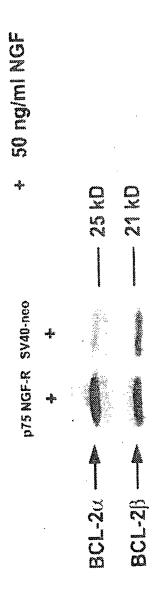
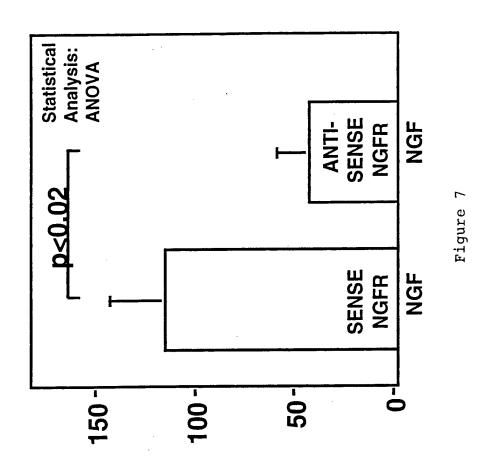


FIG. 6

	•		
	•		
•		•	

٠,



Cell Number

			•
			ì
			;
•			
	•		
			į

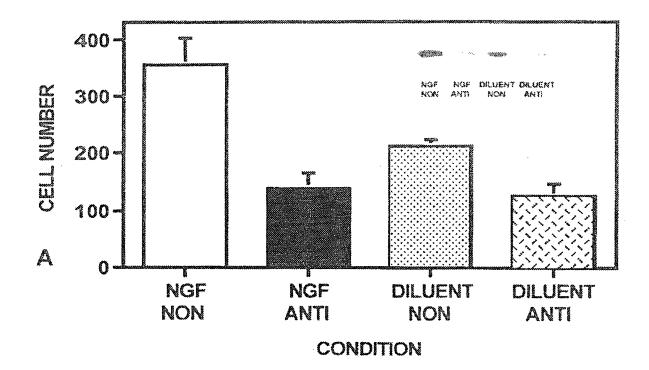
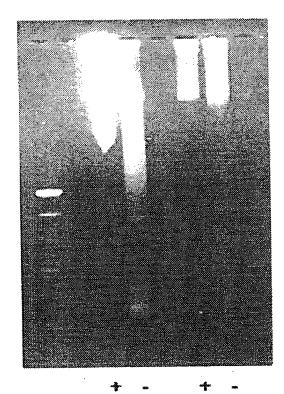


FIG. 8

		•	

٠.

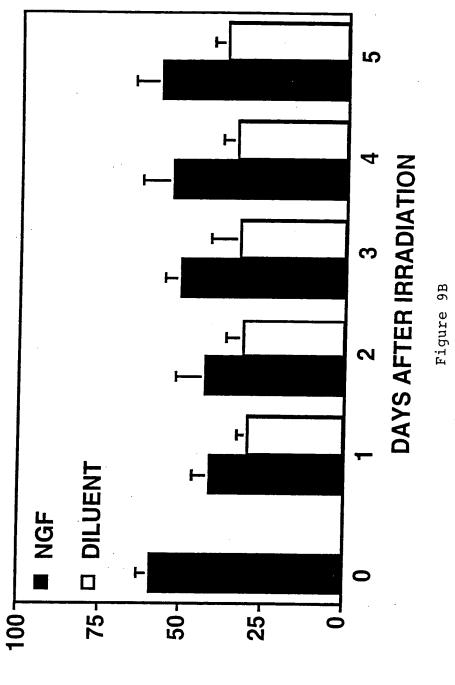
.



+ NGF 50 ng/ml - Diluent

STD UV SHAM FIG. 9A

			•
		·	
·	•		
·			



CELL NUMBER X 10-4

					•
·					
			1 A 1	·	
		·			
	·				
		,	•		

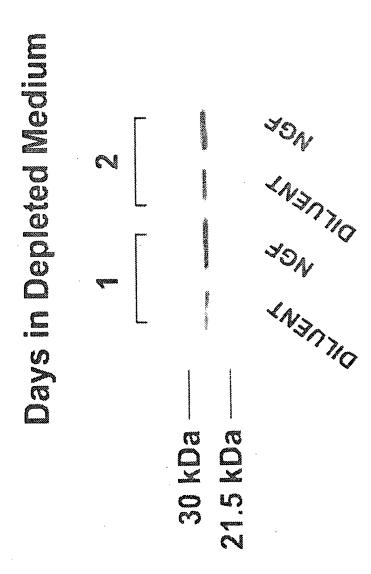


FIG. 10

	-	
·		
		·

	INTERNATIONAL SEARCH REP	OKI Inc	410 DE /10071
	1	PCT	/US 95/10971
A. CLASSIF	FICATION OF SUBJECT MATTER A61K38/18 A61K31/70 A61K7/06		
	International Patent Classification (IPC) or to both national classifica	tion and IPC	
	SEARCHED		
Minimum do	ocumentation searched (classification system followed by classification	symbols)	
IPC 6	A61K		į
_	the state of the s	h documents are included i	n the fields searched
Documentati	ion searched other than minimum documentation to the extent that suc	ii documento ao mercara	
Electronic d	ata base consulted during the international search (name of data base :	and, where practical, search	terms used)
	IENTS CONSIDERED TO BE RELEVANT		
C. DOCUM	Citation of document, with indication, where appropriate, of the rele	vant passages	Relevant to claim No.
Caugory			
A	PROC. NATL. ACAD. SCI. USA,		
	vol. 91, no. 9, 1994 pages 3700-3704,		
	v wavavama FT Al 'largeted 015	ruption	
	l    e nal_2 alaba bata in mice: ULLUI	J Elice of	
	gray hair, polycystic kidney diseallymphocytopenia.	ise, and	
	Tymphocycopenia:		
A	INT. J. ONCOL.,		
	vol. 4, no. 6, 1994 pages 1211-1218,		
	A MATADA1 FT AL 'BCI-2 ONCOGEN	e blocks	
	differentation and extends viabil does not immortalize normal human	ity but	
	keratinocytes.		·
		/	
		, –	
-			
X Fu	rther documents are listed in the continuation of box C.	Patent family mem	bers are listed in annex.
* Special o	ategories of cited documents:	T" later document publish	ed after the international filing date t in conflict with the application but
'A' dogu	ment defining the general state of the art which is not	cited to understand the	principle of alcosty and all all all all all all all all all al
cons	idered to be of particular relevance or document but published on or after the international	X" document of particular	relevance; the claimed invention novel or cannot be considered to
filing	g date	involve an inventive s	tep when the document is
whic	ion or other special reason (as specified)	cannot be considered	with one or more other such docu-
. other	ment referring to an oral disclosure, use, exhibition or r means	ments, such combination the art.	ion being dovices to 5 p.
"P" docu later	ment published prior to the international filing date but than the priority date claimed	& document member of	international search report
Date of the	ne actual completion of the international search		
	29 January 1996	22.	03. 96
	d mailing address of the ISA	Authorized officer	<del></del>
Maine an	European Patent Office, P.B. 3818 Patendaan 2		-
	Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Klaver,	

#### INTERNATIONAL SEARCH REPORT

Inte onal Application No

-E > 555=	MENTER CONVENIENCE	T/US	95/10971
	MENTS CONSIDERED TO BE RELEVANT		
Ay Cleation of the	document, with indication, where appropriate, of the relevant pa	ssages	Relevant to claim No.
vol. page: D. M/ effec	J. CANCER, 55, no. 4, 1993 6 692–699, ARCHETTI ET AL. 'Nerve growth fac cts on human and mouse melanoma ce sion and heparanase production.'	tor	
vol. pages R.A. proli KIT a impli	IVEST. DERMATOL.,  103, no. 2, 1994  148-150,  SPRITZ ET AL. 'Inhibition of feration of human melanocytes by ntisense oligodeoxynucleotide: cations for human piebaldism and dominant white spotting.'	<b>a</b>	